

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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NOV 28 2001

Technology Center 2000

Applicant: UNO et al.

Examiner: Unknown

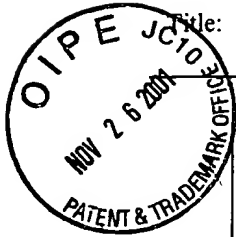
Serial No.: 09/913,430

Group Art Unit: 2652

Filed: August 14, 2001

Docket: 10873.767USWO

Title: OPTICAL INFORMATION RECORDING MEDIUM, METHOD OF RECORDING AND REPRODUCING, AND OPTICAL RECORDING AND REPRODUCING SYSTEM



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 14, 2001.

By: Kristen Smith  
Kristen Smith

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 11 Reference(s)
- ☒ Other: International Search Report for PCT/JP00/09007
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Douglas P. Mueller  
Name: Douglas P. Mueller  
Reg. No.: 30,300  
DPMUELLER/kas





S/N 09/913,430

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PATENT  
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Applicant:	UNO et al.	Examiner:	Unknown	NOV 28 2001
Serial No.:	09/913,430	Group Art Unit:	2652	Technology Center 2000
Filed:	August 14, 2001	Docket No.:	10873.767USWO	
Title:	OPTICAL INFORMATION RECORDING MEDIUM, METHOD OF RECORDING AND REPRODUCING, AND OPTICAL RECORDING AND REPRODUCING SYSTEM			

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 14, 2001.

By: 

Kristen Smith

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Applicant hereby brings attention to the Examiner that some of the references listed were cited in an International Search Report dated March 21, 2001. A copy of the International Search Report is enclosed.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Applicant hereby brings to the Examiner's attention that 6,221,455 is a corresponding U.S. application to Japanese patent no. 11-195243.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

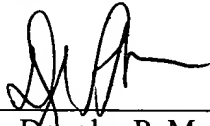
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: November 14, 2001

By   
Douglas P. Mueller  
Reg. No. 30,300

DPM/kas